

EXPRESS MAIL LABEL NO. EL481674584US

DATED: 22 August 2000

ATTY DOCKET: ICLS 1001-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

UTILITY PATENT APPLICATION TRANSMITTAL LETTER

Enclosed for filing is ☒ an original patent application or, ☐ a continuation-in-part patent application by ADAM HALL, CHENDAL P. COULTER and KEN S. MILLER for SYSTEM AND METHOD FOR CONDUCTING THE CLOSING OF A REAL ESTATE SALE OVER A COMPUTERIZED NETWORK.

Also enclosed are:

- ☒ 24 sheet(s) of ☐ formal ☒ informal drawing(s);
- ☒ 37 sheet(s) of specification/abstract;
- ☒ 8 sheet(s) of claims;
- ☐ a claim for foreign priority under 35 U.S.C. §§ 119 and/or 365 in ☐ a separate document ☐ the declaration;
- ☐ an Associate Power of Attorney;
- ☒ X verified statement(s) claiming small entity status;
- ☒ an Assignment document and form PTO-1595;
- ☒ ☐ a declaration of the inventor(s); ☒ combined declaration and power of attorney.
- ☒ Power of Attorney By Assignee to Exclusion of Inventor Under 37 C.F.R. §3.71 With Revocation of Prior Powers.
- ☒ Claiming priority of Provisional Application No. 60/184,312, Filed 23 February 2000.

The fee has been calculated as follows:

| C L A I M S | | | | | |
|---|---------------|------------|--------------|-------------|------------|
| | NO. OF CLAIMS | | EXTRA CLAIMS | RATE | FEE |
| Basic Application Fee | | | | | \$690.00 |
| Total Claims | 49 | MINUS 20 = | 29 | X \$18.00 = | \$522.00 |
| Independent Claims | 7 | MINUS 3 = | 4 | X \$78.00 = | \$312.00 |
| If multiple dependent claims are presented, add \$260.00 | | | | | |
| Total Application Fee | | | | | \$ 1524.00 |
| If verified statement claiming small entity status is enclosed, subtract 50% of Total Application Fee | | | | | 762.00 |
| Add Recording Fee of \$40.00 if Assignment document is enclosed | | | | | \$40.00 |
| TOTAL APPLICATION FEE DUE | | | | | \$ 802.00 |

- ☒ Check No. 965 in the amount of \$802.00 is enclosed.
- ☐ Charge \$_____ to Deposit Account No. 50-0869.
- ☐ Fees will be paid when responding to the Notice to File Missing Parts.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 50-0869 (Client File # ICLS 1001-2). Two copies of this transmittal letter are enclosed.

Respectfully submitted,

Date: 22 August 2000

HAYNES & BEFFEL LLP
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By:

Ernest J. Beffel, Jr.
Ernest J. Beffel, Jr., Reg. No. 43,489



22470

PATENT TRADEMARK OFFICE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c))--SMALL BUSINESS CONCERN

Docket Number (Optional)
ICLS 1001-2

Applicant, Patentee, or Identifier: Adam Hall, Chen Coulter and Ken Miller

Application or Patent No.: Unassigned

Filed or Issued: Not Filed Yet

Title: SYSTEM AND METHOD FOR CONDUCTING THE CLOSING OF A REAL ESTATE
SALE OVER A COMPUTERIZED NETWORK

I hereby state that I am

☐ the owner of the small business concern identified below:

☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN iClosings.com, Inc.

ADDRESS OF SMALL BUSINESS CONCERN 201 Santa Monica Blvd., Suite 300, Santa Monica, CA
90401

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related to size standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 409 Third Street, SW, Washington, DC 20416.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☐ the specification filed herewith with title as listed above.
☒ the application identified above.
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

- Each person, concern, or organization having any rights in the invention is listed below:
☒ no such person, concern, or organization exists.
☐ each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

NAME OF PERSON SIGNING Ken Miller

TITLE OF PERSON IF OTHER THAN OWNER Vice President

ADDRESS OF PERSON SIGNING 28847 Bison Court, Malibu, CA 90265

SIGNATURE Ken Miller DATE 8/21/00

JOINT TO CORPORATE
ASSIGNMENT

COPY

WHEREAS, the undersigned,

(1) Adam Hall
9116 Alcott
Los Angeles, CA 90035

(2) Chendal P. Coulter
1663 North Second Street
La Mesa, CA 91944

(3) Ken S. Miller
28847 Bison Court
Malibu, CA 90265

hereinafter termed "Inventors", have invented certain new and useful improvements in

**SYSTEM AND METHOD FOR CONDUCTING THE CLOSING OF A REAL
ESTATE SALE OVER A COMPUTERIZED NETWORK**

and have filed an application for a United States patent disclosing and identifying the above invention on _____ as Application No. _____, OR are filing such an application herewith, and have executed an oath or declaration of inventorship for such application on:

(1) the 21st day of August, 2000;

(2) the 18th day of August, 2000;

(3) the 21st day of August, 2000;

(hereinafter termed "application"); and

WHEREAS, iClosings.com, Inc., a corporation of California, having a place of business at 201 Santa Monica Boulevard, Suite 320, Santa Monica, CA 90401 (hereinafter termed "Assignee"), is desirous of acquiring the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered jointly or severally by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventors to have been received in full from said Assignee:

1. Said Inventors do hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and

every application filed and each and every patent granted on any application which is a divisional, substitution, continuation, or continuation-in-part of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.

2. Said Inventors hereby jointly and severally covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.

3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives and assigns.

4. Said Inventors hereby jointly and severally warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, said Inventors have executed and delivered this instrument to said Assignee as of the dates written below.

Adam Hall
Adam Hall

8/21/00
Date

State of California)
County of Los Angeles)
On August 21, 2000, before me, Sheryl A. Quay
personally appeared Adam Hall,
☐ personally known to me or ☒ proved to me on the basis of
satisfactory evidence, to be the person whose name is subscribed to
the within instrument and acknowledged to me that he/she executed
the same in his/her authorized capacity, and that by his/her
signature on the instrument the person or the entity upon behalf of
which the person acted, executed the instrument.

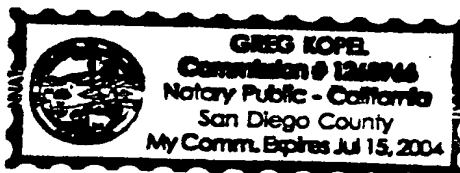
WITNESS my hand and official seal.

(Notary Public)



Chendal P. Coulter
Chendal P. Coulter

8/18/00
Date



State of CALIFORNIA)
County of SAN DIEGO)

On 18 Aug, 2000, before me,
personally appeared Chendal P Coulter,

☐ personally known to me or ☒ proved to me on the basis of
satisfactory evidence, to be the person whose name is subscribed to
the within instrument and acknowledged to me that he/she executed
the same in his/her authorized capacity, and that by his/her
signature on the instrument the person or the entity upon behalf of
which the person acted, executed the instrument.

WITNESS my hand and official seal.

Greg Koepel
(Notary Public)

Ken S. Miller
Ken S. Miller

8/21/00
Date

State of California)
County of Los Angeles)

On August 21, 2000, before me, Sheryl A Quay,
personally appeared Ken S. Miller,

☐ personally known to me or ☒ proved to me on the basis of
satisfactory evidence, to be the person whose name is subscribed to
the within instrument and acknowledged to me that he/she executed
the same in his/her authorized capacity, and that by his/her
signature on the instrument the person or the entity upon behalf of
which the person acted, executed the instrument.

WITNESS my hand and official seal.

Sheryl A Quay
(Notary Public)

